

Total Who's Who - Taiwan (TWWTW)

Date of Report: 7 Jun 2013

Name:	** Wei Chen ~ *維辰	Surname:	N/A
Alias Name:	N/A	Previous Name:	N/A
Nationality:	Taiwan	Address1:	4/F,
Address2:	No ***, Section 3, Nanjing East Road,	City:	Zhongshan District,
State:	Taipei,	Country:	Taiwan
Address in Chinese:	台灣台北市中山區南京東路三段***號 4/F	Phone:	+886-2-****1999
Fax:	+886-2-****1585	E**il:	N/A
Age:	42	Sex:	Male
Taiwan ID#:	A****49679	Taiwan Passport#:	****109062

Date of birth 13 Oct 1970

Subject's Profile

-A director of Y***** Financial Holding Company (listed on Taiwan Stock Exchange Stock number **85, see **Note 1**) since 31 **y 2013, (shareholder#: ***956). He had 384,282,549 shares of the company at the time of the election dated 31 **y 2013.

- Representative of T*** Chuek Investments Co., Ltd.

- Director of Y***** Construction Development Co., Ltd. and Director of Y***** Cultural and Education Foundation.

- Former President of H**** Glass Works, a director of Y*****-Core Pacific Securities, the CEO of Syspower, the Vice-Chair**n of Y***** Commercial Bank, and an Executive Vice President of Y***** Financial Holdings.

- He has a bachelor degree from the University of Southern California.

- Education: University of Southern California business **nagement graduate

Subject's Family Background

- Home Ranking: youngest son of the family,

-Father: ** Zhi Ling ~ *志玲. He had been charged of misconduct of the Securities Exchange Act, and had been sentenced to imprisonment for a term of 7 years and four months on 7 Feb 2013.

-Mother: TU ***** ~ 杜**. She had been charged of misconduct of the Securities Exchange Act, and has been sentenced to imprisonment for a term of 7 years and four months on 7 Feb 2013.

-Older brother: ** Wei Jian ~ *維建. He had been involved with the CHEN Shui-bian (former president of Taiwan) money laundering court case, had been sentenced to imprisonment for 8 months and received probation of two years.

-Sister: ** Wei Xin. She was a Director of Y***** Financial Holdings Company Ltd since 1 Aug 2011 and replaced by Subject on 31 **y 2013. She is also the Chair**n of the Board in HannStar Display Corporation and she was the Special Secretary to Chair**n of the Board and Director in Y***** Securities.

Note 1: Y***** Financial Holdings Co., Ltd. Is a Taiwan-based financial holding company. The Company operates its businesses through securities business, including securities brokerage, trading and underwriting; commercial banking, including corporate banking, personal banking, wealth **nagement, trust business, financial operation, financial trading, foreign exchange trading and electronic financing business; securities financing, including securities financing and securities loans; capital investment, including **nagement consulting and investment business; international asset **nagement, including distressed debt trading, handling, integration and consulting; future trading, brokerage and settlement business, as well as investment consulting services, among others.

Contact:

9/F, No. 4, Section 1
Chung-Hsiao West Road
Jhongjheng District
Taipei 105
Taiwan
Tel: +886-2-****1999
Fax: +886-2-****1585

Findings and Investigations

Discreetly called the phone number 02-****1999 and interviewed a fe**le staff there, she divulged that Subject was not in the office.

Subject's related addresses

** -A, No. 325, Jen-Ai Road, Sec. 4, Taipei, Taiwan (traced and unverifiable)

Related Companies

Taiwan

Director ~ 董事

Company Name:	Y***** Construction Development Co., Ltd. ~ 元大建設開發股份有限公司	Registration #:	23822598
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Company Name : **** TA CONSTRUCTION & DEVELOPMENT CO., LTD. ~ * 大建設開發股份有限公司
Registration Number : ***22598
Amount of Capital : NTW2,100,000,000
Amount of Investment : NTW878,530
Percentage of Total : 41.83%
Position : Director
****in Business Activity** : Construction, lease and sale of high-class residential building and commercial building (construction work is outsourced to related company)

Total Writ Scanner (TWS)

No records found

Total Property Ownership

No records found

Rerk:** Land Search conducted on 31 **y 2013 revealed the given address 4/F, No.225, Section 3, Nahjing East Road, Zhongshan District, Taipei, Taiwan is owned by Y***** Securities Holdings Company Limited ~ **寶來證券股份有限公司.

Land Search Details:

Address: 4/F, No.225, Section 3, Nahjing East Road, Zhongshan

Owner: Y***** Securities Holdings Company Limited ~ **寶來證券股份有限公司 **(Note 2)**

Registration date: 29 Feb 2008

District, Taipei, Taiwan.

Mortgaged Amount: TWD310,000

Registration date: 18 Oct 2004

Term: 30 years

Mortgagor: Y***** Securities Holdings Company Limited ~ **寶來證券股份有限公司

Mortgagee: Cathay ***** Commercial Bank Company Limited ~ 國泰**商業銀行股份有限公司 (1/F, No.7 Song-Ren Road, Xinyi District, Taipei City 110, Taiwan ~ 臺北市信義區松仁路 7 號 1 樓.

Note 2: Y***** Securities Holdings Company Limited is wholly owned by Y***** Financial Holdings Company Limited ("YFH") in which Subject held 384,282,549 shares. YFH's outstanding number of shares as at 31 Dec 2012 was 10,016 million shares. The average share price of YFH on 7 Jun 2013 was TWD15.95.

Debt Scan

No records found.

TAIWAN INDIVIDUAL INSPECTION REPORT

Name : **, WEI-CHEN ~ *維辰
Sex : Male
ID No. : A****49679
Date of Birth : Oct. 13, 1970

Bank(s):

Subject currently has 1 cheque account in financial institution.

Bounced Cheque Record:

Subject has no bounced cheque record in the last half of year.

*Note: The above record is based on the data obtained from the Clearing House as of **y 22, 2013. The non-paid up records provides the infor**tion of bounced cheque records that the parties concerned mentioned above have not registered the paid-off records in the past three years. As to the paid-up records, they provides the infor**tion that the parties concerned mentioned above have registered the paid-off records relating to the bounced cheques in the past six months.*

Dishonored Banking Record:

Subject has no dishonored banking record reported so far.

*Data Validity: **y 22, 2013*

*Note: The above infor**tion is available only for reference. Given that the dishonored cheque **y be paid off and the notation of payoff **y be completed by subject later, along with restrictions imposed by the infor**tion source or update date, the provided infor**tion might not be complete. Please try to get infor**tion directly from Taiwan Clearing House for the latest updated payment record. Also this service can be*

provided by us upon request.

Negative News from the Media

Subject has no negative news reported in the past five years.

The above record is obtained through indirect investigation, which is only for your reference.

Information used to compose this report: up to **y 22, 2013

Related News and Findings: See below

-News (if any) is selectively extracted as deemed appropriate and relevant (all searchable negative, scandal and red-flag news will be included).

背信案 *志玲夫婦各判刑 7 年 4 個月 (Chinese News)

[NOWnews.com 今日新聞網](#)

2013 年 2 月 7 日 14:53

社會中心／綜合報導

前元大集團總裁*志玲夫婦，因涉及背信、違反證券交易法等罪嫌，經上訴後，台灣高等法院在今（7）日作出判決，判處*志玲和其妻子前元大證券董事長杜麗莊有期徒刑 7 年 4 個月。

根據法院判決指出，行政院金融監督管理委員會為消弭 93 年 7 月發生的聯合投信贖回潮風險危機，要求各投信公司所募集之債券型基金，必須於 94 年底前全數出清；但*志玲等人卻透過他人，提供不實資訊給鑑價公司，並欺騙獨立董事轉移股權，將家族所持有之股權，「倒貨」給妻子杜麗莊所掌控的元京證，進而造成元京證損失上億元。

另外，*志玲夫婦還被控完成股權交割後，安排元京證就結構債、公債或公司債，和*志玲所掌控的投資公司進行「低賣高買」等非常規交易；將原本*志玲所應承擔的損失轉嫁給元京證，並從中套利數億元新台幣，損害元京證。

全案經高等法院審理後，依違反證券交易法將*志玲和杜麗莊改判 7 年 4 月有期徒刑；而同案的元京證公司董事林明義及元京證副總吳麗敏則改判刑 4 年 6 月及 4 年有期徒刑。

對於判決結果，元大金控表示，此案已屬舊案，不予評論；而*志玲夫婦的代表律師陳彥希則認為，判刑是因為律師努力不夠，將會在法定期限內提出上訴。

「我*志玲是打不死的」(Chinese News)

台灣蘋果日報

2009年04月04日

業界傳奇

元大集團總裁*志玲經營事業霸氣十足，能屈能伸，不過這兩年似乎流年不利，除與妻子杜麗莊，雙雙因為結構債事件遭起訴求刑十年外，兩個兒子*維建、*維辰也身陷在扁家洗錢風暴中。但即使面對一場又一場司法硬戰，*志玲仍不改霸氣，曾公開說：「我*志玲是打不死的！」

家族身價 280 億

*志玲、杜麗莊夫婦一直是證券圈的傳奇人物，尤其是把當年國內最小、經營最不善元大證券，同心協力經營成大型的金控公司，元大證券目前更是國內證券業龍頭，市場佔有率遙遙領先其他券商。

打拚三十年後，歷經煉鐵、新竹玻璃的重整，甚至遭到黑槍暗殺，*志玲原本已退居幕後督軍，事業慢慢移交給長子元大金總經理*維建與次子元大金營運長*維辰經營，不料卻遭遇一連串司法風暴。

*志玲去年曾入選富比士台灣第二十六名富豪，身價十一億美元（約三百六十三億元台幣），雖經過去年下半年金融風暴後，身價縮水，但外界估計，*志玲家族身價仍有兩百八十億元左右。

記者林潔禎

*維建判 8 月 蔡鎮宇脫罪 (Chinese News)

幫扁洗錢 *「轉來轉去」 蔡拒絕沾手

台灣蘋果日報

2011年10月14日

【綜合報導】國泰金控前副董蔡鎮宇、元大*家少東*維建均因協助扁家洗錢被起訴，兩人一審都獲判無罪，昨二審卻出現悲喜兩樣情，蔡仍獲判無罪，*卻被依洗錢罪判刑 8 月，緩刑 2 年。合議庭指出，*維建已知扁家寄放的錢是不法所得，仍幫忙「轉來轉去」，這就是洗錢。

二次金改宣判 逆轉

*維建昨委託律師宋耀明喊冤：「扁家寄放的錢髒髒臭臭的，*家怕儲藏室的畫作因此發霉，才把錢存進銀行，後來扁嫂嫌利息太少，所以又幫扁家投資還獲利，這哪是洗錢？」宋表示，*維建雖獲緩刑，但收到判決後會再研究是否上訴。

元大父子幫搬 7 億

元大金控副總莊有德昨撇清說，弊案爆發後*維建就辭去金控總經理，*家成員全部退出元大經營。國泰金控則表示：「不方便回應。」由於蔡鎮宇已將國泰股權賣給國泰金董事長蔡宏圖，外界認為蔡鎮宇即使被判無罪，回鍋可能性不大。

判決指出，2004 年吳淑珍以嫂嫂陳俊英名義，在國泰世華銀行租用保管室存放鉅款，最多曾達十多億元，當時國泰銀行副董蔡鎮宇曾代墊百萬元租金。

2006 年，珍擔心保管室裡的 7 億 4000 萬元被檢調查到，透過元大證券前董事杜麗萍牽線，把 7 億 4000 萬元用牛皮紙袋分裝成每包 500 萬元，由珍兄吳景茂等人把錢搬到元大*家儲藏室寄放，當時元大總裁*志玲跟兒子*維建還幫忙搬錢。

*維建搬錢時看見牛皮紙包裝上都寫著「500」字樣，起疑拆箱驚見一捆捆大鈔，清點確認有 7 億 4000 萬元，*覺得這麼多錢放在家裡責任太大，多次請杜麗萍轉告珍請她盡快搬走，珍卻以仍在找其他放置地點為由推托。

助扁家開紙上公司

後來*乾脆透過杜傳話給*：「怕國泰世華的保險箱曝光，（檢調）會再追到我們這裡，到時如果現金還在就很危險。」指示*維建把 7 億 4000 萬元存進銀行。

後來*又依珍指示，幫扁家開設海外紙上公司及帳戶，然後以層層轉匯方式，把 3 億多元轉到陳致中、黃睿靚名下的海外公司及帳戶，陳致中還用其中 1800 萬元買下美國維吉尼亞州一棟房屋。

*辯錢髒才存銀行

二審開庭時，*維建辯稱無從得知或懷疑扁家錢有問題，他爆料說：「那些錢多半是舊鈔，有的還發霉，像菜市場賣魚、賣肉的錢，我怕髒錢污染儲藏室畫作，才託人存進人頭戶。」

二審審判長張傳栗昨指出，扁嫂曾傳話「怕國泰世華的保險箱曝光」等語給*維建，而*又幫扁家把錢「轉太多次了」，顯示*明知是不法所得仍幫扁家藏匿，構成洗錢罪；而蔡鎮宇從未進扁家租的保管室，也沒看見扁家的錢，甚至扁拜託他把保管室裡的「東西」取出，蔡都拒絕，顯示蔡毫無洗錢犯意及犯行。

此案一、二審均無罪的企業界人士除蔡鎮宇，還有*志玲、辜仲璽等人，依《速審法》規定，檢方須在判決違憲等特殊情況下，才能上訴。

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