

# TOTAL Credit & Risk Reports

## Total China Trade Risk Score Report (TTRSR-CN)

Requested Name:	北京山****有限公司
Speed Mode:	Normal
Total Credit's Ref.:	SAMPLE
Client's Reference:	N/A
Order Date:	8 Apr 2020
Delivery Date:	17 Apr 2020
Given Address:	China.

### OVERVIEW 概覽

Date of Report:	17 Apr 2020
Subject's Registered Name (in English ~ Chinese):	北京山****有限公司
AIC No.:	91110106*****
Subject's Business Name (in English ~ Chinese):	N/A
Registered Address:	北京市丰台区南四环西路***号七区*号楼*层 China.
Telephone:	86-186123*****
Email:	*****@qq.com
Total Trade Appraisal (TTA):	2
RISK:	Low
Public Filings Compliance:	Compliant

**Public Filings Compliance** - looks into a number of compliance requirements such as whether Subject is promptly filing its annual return (i.e.: between March and June yearly)

ALL FIGURES QUOTED IN LOCAL CURRENCY UNLESS OTHERWISE STATED

### CORPORATE STRUCTURE 公司結構

The following statutory information is based on the examination of Subject's file at relevant Administration for Industry and Commerce (AIC) in China. Since most enterprises in China register their corporate names and details ONLY in Chinese at AIC, any English interpretation in the report (unless otherwise stated) are either provided by Subject, found from other sources (such as Internet search or Subject's related website), translated and/or transliterated for reference only.

Co. File Examined	9 Apr 2020
AIC/USC No.	91110106*****
Legal Status	Limited Liability Company ~ 有限责任公司

<b>Active Status</b>	Live
<b>Date of Incorporation / Registration</b>	** Nov 20**
<b>Name Changed</b>	N/A
<b>Authorized/Registered Share Capital</b>	CNY500,000,000
<b>Issued/Subscribed Shares</b>	N/A
<b>Issued/Subscribed Share Capital</b>	CNY10,000,000
<b>Paid up Capital</b>	CNY10,000,000
<b>Class of Shares/Subscribed/Paid-Up Amount</b>	In Currency
<b>Last Annual Return Date</b>	** Dec 20**
<b>Year of Annual Return of no change or Annual Return Inspection Date (for China reports)</b>	** Jun 20**
<b>Branches/Trading As</b>	N/A
<b>Company Secretary</b>	N/A

**Shareholders/ Subscribers (as at 9 Apr 2020)**

Shareholders/ Subscribers	ID/CR No.	Passport (PP)/Certificate(CE) (former#):	ID/PP/CE Issuing Country:	Address	No. of Shares	Class of Shares/Subscribed/Paid-Up Amount	% Of Share Capital
LI ****dong ~ 李*东	130227*****3218	N/A	China	Room ***, Unit *, Building 5, District 3, Maliandao- Zhongli, Sample District, Beijing, China.	5,000,000	Paid-Up Amount	50.00
苑*永	130202*****0617	N/A	China	Room ***, Unit *, Building 5, District 3, Maliandao- Zhongli, Sample District, Beijing, China.	5,000,000	Paid-Up Amount	50.00
<b>Total:</b>					10,000,000		

**Legal Representative ~ 法定代表人 (as at 9 Apr 2020)**

Name	ID/CR No.	Passport (PP)/Certificate(CE) (former#):	ID/PP/CE Issuing Country:	Address

张*祥	N/A	N/A	N/A	N/A
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**Executive Director ~ 执行董事 (as at 9 Apr 2020)**

Name	ID/CR No.	Passport (PP)/Certificate(CE) (former#):	ID/PP/CE Issuing Country:	Address
张*祥	N/A	N/A	N/A	N/A

**Supervisor ~ 监事 (as at 9 Apr 2020)**

Name	ID/CR No.	Passport (PP)/Certificate(CE) (former#):	ID/PP/CE Issuing Country:	Address
高*宏	N/A	N/A	N/A	N/A

**Manager ~ 经理 (as at 9 Apr 2020)**

Name	ID/CR No.	Passport (PP)/Certificate(CE) (former#):	ID/PP/CE Issuing Country:	Address
张*祥	N/A	N/A	N/A	N/A

**Structure Remarks/Registry/Business License Validity:**

营业期限自：2008年11月28日  
 营业期限至：2038年11月27日  
 登记机关：北京市工商行政管理局丰台分局  
 核准日期：2019年12月19日  
 登记状态：开业

**经营异常信息**

序号/列入经营异常名录原因/列入日期/作出决定机关(列入)/移出经营异常名录原因/移出日期/作出决定机关(移出)  
 1/公示企业信息隐瞒真实情况、弄虚作假的/2017年10月31日/北京市工商行政管理局丰台分局/列入经营异常名录3年内且依照《经营异常名录管理办法》第八条规定被列入经营异常名录的企业更正其公示的信息后，申请移出/2019年11月22日/北京市丰台区市场监督管理局

**TOTAL WRIT SCANNER (TWS) 迪陶訴訟搜查**

**TWS** reveals if Subject has been listed as an Executed Party ~ 被执行人 in China courts (excluding Military Courts) on new cases lodged after 1st Jan 2007 and cases that have yet been closed before this date. It should be noted that court records contained in a report might have been paid, terminated, vacated, settled or released prior to the date of report. Any records shown are based on searchable executed verdict, which do not provide cause of action, name of counterparty or further information. Only the latest 10 records are revealed if total number of record exceeds 10.

**Search Result:**

**As Defendant**

None found in our database

#### As Plaintiff

None found in our database

#### Comments

N/A

#### TOTAL DEBT SCANNER (TDS) 迪陶債案搜查

**TDS** reveals if Subject has been listed as debtor in our debt database in the last **5 years** and that is subject to the "**Code of Practice on Consumer Credit Data**" issued by Office of the Privacy Commissioner for Personal Data, Hong Kong. Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed. It should be noted that the collection record contained in the report might have been paid, terminated, vacated, settled or released prior to the date of report.

#### Search Result:

None found in our database

#### PUBLIC FILINGS 公共檔案

##### ENTERPRISE CREDIT RATING 企业信用等级 (ECR)

"Enterprise Credit Rating" is ranked by respective Administration for Industry and Commerce (工商行政管理局) (AIC), China based on its collection, research and analysis of positive and negative information on enterprises that are registered at respective administration and is normally classified into grade "A" for Trustworthy Enterprise (守信企业) to grade "D" for Seriously Dishonest Enterprise (严重失信企业) levels whilst availability and classification vary between different AICs.

**Enterprise Credit Rating: Not Applicable**

#### BANKING 銀行

N/A

#### GROUP PROFILE & FINANCIALS

N/A

#### NATURE OF BUSINESS

##### Business Lines

<b>Principal Business Line</b>	投资管理、资产管理；技术进出口、代理进出口、货物进出口；技术推广服务；销售金属材料、建筑材料、化工产品（不含一类易制毒及化学危险品）、机械设备、电器设备。（“（1、不得以公开方式募集资金；2、不得公开交易证券类产品和金融衍生品；3、不得发放贷款；4、不得向所投资企业以外的其他企业提供担保；5、不得向投资者承诺投资本金不受损失或者承诺最低收益。）”。企业依法自主选择经营项目，开展经营活动；依法须经批准的项目，经相关部门批准后依批准的内容开展经营活动；不得从事本市产业政策禁止和限制类项目的经营活动。）
<b>Second Business Line</b>	N/A

## TOTAL TRADE RISK SCORE 迪陶交易風險評分

<b>Non-Financial</b>		
	<b>*Maximum Weight</b>	<b>*Weight Score</b>
Legal/Capital Structure/Equity	12.00	6.00
^Registered Charges/Mortgages	3.00	1.50
Years in Business	12.00	5.50
Total Writ Scanner	6.00	0.00
Total Debt Scanner	3.00	0.00
Public Filings Compliance	1.00	0.00
<b>Sub Total</b>	<b>37.00</b>	<b>13.00</b>
<b>Total Trade Risk Score (TTRS)</b>	<b>37.00</b>	<b>13.00</b>

**RISK:** Low

### INTRODUCTION TO TOTAL TRADE RISK SCORE

**Total Trade Risk Score (TTRS)** is based on a risk score model developed by the credit and risk analysis team of Total Credit & Risk Management Group. In summary, a higher-risk company attains a higher score, and vice versa. The TTRS is calculated according to respective indicators including Legal Status; Capital; Registered Charges/Mortgages (or "Enterprise Credit Rating" for China companies); Years of Business; Negative and Positive Records and Public Filings Compliance. The maximum score for TTRS is 37\*.

^Due to different filing requirements in China, scoring for this section is based on "Enterprise Credit Rating (企业信用等级)" filed at Administration for Industry and Commerce (工商行政管理局) (AIC). Enterprise Credit Rating is generally ranked by respective AICs in China based on their collection, research and analysis of positive and negative information on enterprises that are registered at their respective administrations and is normally classified into grade "A" for Trustworthy Enterprise (守信企业) to grade "D" for Seriously Dishonest Enterprise (严重违法失信企业) levels whilst availability and classification vary between different AICs.

**TTRS** is researched, developed and designed to appraise your business and credit risks by a rating "**Total Trade Appraisal (TTA)**" defined to the company in question. TTRS is classified into eight levels represented by the **TTA** ranges from TTA1, indicating a minimal risk to TTA5 the highest risk. A rating of TTA6 is used for companies, which are in bankruptcy, liquidation, striking-off, dissolved, deregistration, business cessation or receivership, or are no longer trading or in business. A "NR" rating will be given for non-scored/non-appraised companies, indicating an unclassified risk (risk unknown), used on brand new companies as an example (refer following "**Corresponding Table for TTA and TTRS**" and "**Notes for non-scored/non-appraised companies**" for more details)

#### \*Notes for Additional Points to TTRS

When judged that the "Maximum Weight" for key risk factors is not sufficient to reflect the actual status of a company, additional points may be given under the "Weighted Score" column. Therefore it is possible that the weighed score would be higher than the maximum weight such as in the following scenarios.

1. Companies whose property has a charging order being filed by its creditor or repossessed by its banker/creditor, etc
2. Companies possessing short and unclear history with scanty information.
3. Companies being filed with a winding up petition or order.
4. Companies in bankruptcy, liquidation, striking-off, dissolved, deregistration, business cessation or receivership, a minimum score of "71" will be given under the "Legal Structure" factor. The other factors may not be scored. The given appraisal will be TTA6.

#### **Corresponding Table for TTA and TTRS:**

TTA	TTRS	RISK	Recommendations
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1	0 - 7.39	Minimal	Trade dealings strongly recommended.
2	7.4 - 14.79	Low	Trade dealings recommended.
3+	14.8 - 18.49	Medium Low	Trade dealings recommended, supported with regular monitoring.
3	18.5 - 23.99	Medium	Trade dealings appear acceptable while should be supported with regular monitoring.
3-	24 - 27.79	Medium High	Trade dealings should be made upon close-watch and regular monitoring basis.
4	27.8 - 31.49	High	Trade dealings preferably upon secured basis.
5	31.5 - 37	Very High	Trade dealings not recommended. If necessary, should only be made upon secured basis.
6	Over 37	Extremely High	Trade dealings absolutely not recommended due to bankrupt, liquidation, striking-off, dissolution, deregistration, business cessation or receivership
NR	No Rating	Unknown	NR is given where there is insufficient or inappropriate information to facilitate rating. However, it is not to be construed as favorable or unfavorable.

## TOTAL TRADE APPRAISAL 迪陶交易風險總評

**2** **RISK: Low**

### Notes for non-scored/non-appraised companies:

Zero ("0") score and/or no appraisal will be given to the companies:

- In business operation for less than one year. The given rating will be NR.
- Incorporated or registered overseas and NOT possessing registration locally. The given rating will be NR.
- With acquired and/or offered information being insufficient or inappropriate to facilitate rating (e.g. companies that are dormant, applying or planning to cease business or not having a place of business operation locally). The given rating will be NR.
- Trade survey's result is mostly unavailable for the captioned companies.

### TTA GUIDE

- The TTA is an opinion of the company's capability and ability based on prevailing conditions and information made available to Total Credit at the time of appraising.
- The TTA is not a recommendation to buy or sell nor is it an endorsement or non- endorsement of an issue.
- The information received has been obtained from sources believed to be reliable, but its accuracy or completeness is not guaranteed.
- Total Credit does not assume any part of the requestor's risk in the use or non-use of this TTA.
- No part of this report may be reproduced, stored in retrieval system or transmitted, in any form or by any means.
- TTA is subject to change due to any unforeseen and/or foreseen circumstances.
- TTA is generated from its own scoring model, which is different from our other score report products (e.g. Total Company Credit Risk Score Report). Therefore, the resulted appraisals in these reports may not be the same due to the different numbers of total factor scored.

## PRIVATE & CONFIDENTIAL

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-END OF REPORT-

28 Nov 2019

## Economic and Trade Information on Hong Kong

### Service Trade Performance

(in nominal terms)	2017		2018		January-September 2019	
	US\$billion	Growth %	US\$billion	Growth %	US\$billion	Growth %
Exports	104.2	+6.3	114.3	+9.6	81.0	-4.3
Imports	77.6	+4.7	81.5	+4.9	58.7	-2.6
Total Trade	181.8	N/A	195.8	N/A	N/A	N/A
Trade Balance	26.6	N/A	32.8	N/A	N/A	N/A

### Major Economic Indicators

	2016	2017	2018	Latest
Population, Mid-year (million)	7.34	7.39	7.45	7.52 <sup>a</sup>
Gross Domestic Product (US\$billion)	319.4	341.4	364.8	273.5 <sup>b</sup>
GDP Per Capita (US\$)	43,500	46,180	48,958	N/A
Real GDP Growth (%)	+2.0	+3.8	+3.0	+0.6 <sup>c</sup>
Inflation (% Change in Composite CPI)	+2.4	+1.5	+2.4	+2.9 <sup>d</sup>
Unemployment Rate (%)	3.4	3.1	2.8	3.1 <sup>e</sup>
Retail Sales Growth (%)	-8.1	+2.2	+8.7	-7.3 <sup>c</sup>
Visitor Arrival Growth (%)	-4.5	+3.2	+11.4	+0.2 <sup>c</sup>

<sup>a</sup> mid-2019; <sup>b</sup> January - Sep 2019; <sup>c</sup> Year-on-year change, January - March 2019; <sup>d</sup> Year-on-year change, January - Oct 2019; <sup>e</sup> Seasonally adjusted, August - October 2019

### Merchandise Trade Performance

(in nominal terms)	2017		2018		January - October 2019	
	US\$billion	Growth %	US\$billion	Growth %	US\$billion	Growth %
Total Exports	496.9	+8.0	533.1	+7.3	420.4	-5.1
Domestic Exports	5.6	+1.4	5.9	+6.5	5.1	+3.6
Re-exports	491.3	+8.1	527.2	+7.3	415.3	-5.2

Imports	558.6	+8.7	605.3	+8.4	467.5	-7.0
Total Trade	1,055.5	+8.4	1,138.4	+7.9	887.9	-6.1
Trade Balance	-61.7	N/A	-72.2	N/A	-47.1	N/A

### **Current Economic Situation**

The world's freest economy

The world's most services-oriented economy, with services sectors accounting for more than 90% of GDP

The world's second largest host of foreign direct investment (FDI) stock, after the United States; and the fourth largest investor of foreign direct investment (FDI)

**Source: Hong Kong Trade Development Council (28 Nov 2019)**

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***KEEN to find out/verify something underneath, different and UNEXPECTED?***

***Email/Talk to TOTAL Investigations...!***

**We do:**

**Due Diligence/Pre-IPO Due Diligence**

**Who's Who**

**Asset Tracing**

**Skip Tracing**

**Fraud Investigation**

**Brand Protection**

**Pre-employment Screening/Employment Screening & Monitoring**

**Tel: (852) 2850 6682**

**Email: [sales@totalcredit.hk](mailto:sales@totalcredit.hk)**

**Website: [www.totalcredit.hk](http://www.totalcredit.hk)**

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